

Draft Minutes

AUDIT & RISK COMMITTEE

meeting 28 June 2021 at 1pm
Committee Room 1 Rotorua Lakes Council

MEMBERS PRESENT:	Mr Bain (Chair) Mayor Chadwick, Cr Donaldson, Cr Tapsell, Cr Raukawa-Tait, Mr Rolleston (Te Tatau o Te Arawa)
AUDIO VISUAL:	Ms Stanway(Deputy Chair)
APOLOGIES:	Cr Raukawa-Tait for lateness
IN ATTENDANCE:	Leon Pieterse (Audit NZ)
STAFF PRESENT:	G Williams, Chief Executive, O Hopkins, Manager Corporate Planning & Governance; T Collé, Chief Financial Officer; J Wilmoth, Project Management Office & Risk Manager; C Pirika, Risk & Reporting Lead; I Brell; Governance Support Advisor.

Clark Pirika opened the meeting with a Karakia.

**1. KARAKIA WHAKPUAKI
OPENING KARAKIA**

Mr Rolleston opened the meeting with a Karakia.

**2. NGĀ WHAKAPAAHA
APOLOGIES
the**

Cr Raukawa- Tait apologies for lateness were noted.

The Chair invited Mr Pieterse from Audit NZ to provide an update on the audit process.

Mr Pieterse advised the statutory reporting timeframes of 31 October 2021 was not going to be achieved and had been extended to 31 December 2021. This had been due to the impact of Covid 19 and staff shortages.

**3. WHAKAPUAKITANGA WHAIPĀNGA
DECLARATIONS OF INTEREST**

Geoff Rolleston advised he had been appointed a director of the InfraCore Board.

4 NGĀ TAKE WHAWHATI TATA KĀORE I TE RĀRANGI TAKE URGENT ITEMS NOT ON THE AGENDA

With the high public interest in the appointment of Deputy Chief Executives and a call for a review, the Committee noted it was satisfied the items would be dealt with appropriately.

5 TE WHAKAŪ I NGĀ MENETI CONFIRMATION OF AUDIT & RISK COMMITTEE MEETING PUBLIC MINUTES 7 APRIL 2021

5.1 AUDIT & RISK COMMITTEE MEETING 7 APRIL 2021

Resolved

That the public minutes of the Audit & Risk Committee meeting held 7 April 2021 be confirmed as true and correct with the following amendments: Cr Donaldson in attendance.

Moved: Cr Donaldson

Seconded: Cr Tapsell

CARRIED

5.2 AUDIT & RISK COMMITTEE MEETING PUBLIC MINUTES 5 MAY 2021

Oonagh Hopkins provided an update on the Code of Conduct:

- Working with LGNZ
- All parties have been communicated with
- Mr Bain to finalise Terms of Reference by LGNZ for them to proceed with an independent review – have two independent assessors overseen by a LGNZ staff member.
- Cr Macpherson has been asked to consult with his support person and to date has not yet responded.
- Cr Macpherson will be notified.

Resolved

That the Audit & Risk Committee proceed with the Code of Conduct as outlined by LGNZ.

Moved: Wallace Bain

Seconded: Cr Donaldson

CARRIED

Resolved

That the public minutes of the Audit & Risk Committee meeting 5 May 2021 be confirmed as a true and correct record.

Moved: Cr Tapsell

Seconded: Mr Rolleston

CARRIED

6 KA MATATAPU TE WHAKATAUNGA I TE TŪMATANUI RESOLUTION TO EXCLUDE THE PUBLIC

Resolved

That the Committee move into Public Excluded session.

Moved: Cr Raukawa- Tait

Seconded: Cr Donaldson

CARRIED

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under Section 48(1) of the Local Government Official Information and Meetings Act 1987, for the passing of this resolution are as follows:

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter	Ground(s) under Section 48(1) for passing of this resolution
Confidential minutes from previous meeting 7 April and 5 May 2021	Protect information where making available would be likely otherwise to damage the public interest	Section 48(1)(a) Section 7(2)(c)(ii)
Enterprise Risk Management Review – April 2021	Protect the disclosure or use of official information for improper gain or improper advantage.	Section 48(1)(a) Section 7(2)(j)
Fraud Management Policy		
Risk Review		

This resolution is made in reliance on Section 48(1) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by Sections 6 or 7 of the Act or Sections 6, 7 or 9 of the Official Information Act 1982, as the case may require, which would be prejudiced by the holding of the whole or the relevant part of the proceedings of the meeting in public are as shown above (in brackets) with respect to each item.

RESOLUTION TO MOVE OUT OF PUBLIC EXCLUDED

Resolved

That the Audit & Risk Committee move out of public excluded session.

Moved: Geoff Rolleston

Seconded: Mayor Chadwick

CARRIED

The meeting closed at 3.02pm

To be confirmed at next Audit & Risk committee meeting 27 September 2021

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